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PTO/SB/05 (11-02)

Approved for use through 10/31/2002 OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

**Attorney Docket No.** 50P4390**First Inventor** Christopher M. Tobin**Title** Method & Apparatus for Recognizing Compact Discs & Issuing Corresponding**Express Mail Label No.** ET629383135USJC9711070313 PRO  
07/11/01**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 27]  
*(preferred arrangement set forth below)*  
 - Descriptive title of the invention  
 - Cross Reference to Related Applications  
 - Statement Regarding Fed sponsored R & D  
 - Reference to sequence listing, a table, or a computer program listing appendix  
 - Background of the Invention  
 - Brief Summary of the Invention  
 - Brief Description of the Drawings (if filed)  
 - Detailed Description  
 - Claim(s)  
 - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 5 ]
5. Oath or Declaration w/Power of [ Total Pages 3 ]  
Attny.  
 a.  Newly executed (original or copy)  
 b.  Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 18 completed)*  
 i.  **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*
- a.  Computer Readable Form (CRF)
- b. Specification Sequence Listing on:  
 i.  CD-ROM or CD-R (2 copies); or  
 ii.  paper
- c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))  
37 CFR 3.73(b) Statement  Power of  
*(when there is an assignee)*  Attorney
10.  English Translation Document (if applicable)  
Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS  
Citations
11.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
15.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No. \_\_\_\_\_ /  
 Prior application information Examiner \_\_\_\_\_ Group Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number or Bar Code Label	<small>Under Customer No. or attach bar code label here</small>			<input type="checkbox"/> or <input checked="" type="checkbox"/> Correspondence address below	
Name	Christopher M. Tobin				
Address	Sony Electronics Inc.				
	123 Tice Blvd., MD T1-1				
City	Woodcliff Lake	State	NJ	Zip Code	07677
Country	USA	Telephone	(201) 930-7420		Fax (201) 930-6854
Name (Print/Type)	Christopher M. Tobin		Registration No. (Attorney/Agent)	40,290	
Signature			Date	July 11, 2001	

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT \$1,454

Application Number	NA
Filing Date	07/11/01
First Named Inventor	Christopher M. Tobin
Examiner Name	NA
Group/Art Unit	NA

Attorney Docket No 50P4390

## METHOD OF PAYMENT (check one)

- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to

Deposit Account Number 19-3195

Deposit Account Name Sony Electronics Inc.

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- Payment Enclosed

Check  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Paid
Fee	\$	Fee	\$	
Code		Code		Fee Description
103	710	201	355	Utility filing fee
106	320	206	160	Design filing fee
107	490	207	245	Plant filing fee
108	710	208	355	Reissue filing fee
110	150	214	75	Provisional filing fee
SUBTOTAL (1)				\$710

## 2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	48	-20** = 28	x 18 = \$504
Independent Claims	6	-3** = 3	x 80 = \$240
Multiple Dependent		0	= \$0

\*\* or number previously paid, if greater; For Reissue, see below

Large Entity		Small Entity		Fee Description
Fee	\$	Fee	\$	
Code		Code		
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)				\$744

\*\* or number previously paid, if greater; For Reissue, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

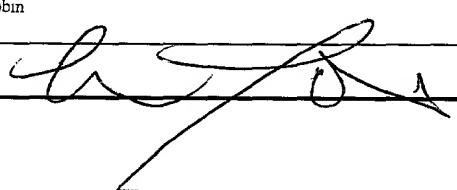
Large Entity	Small Entity	Fee	Fee Description	Fee Paid
Code	Code	\$		
105	130	205	Surcharge - late filing fee or oath	
127	50	227	Surcharge - late provisional filing fee or cover sheet	
139	130	139	Non-English specification	
147	2,520	147	For filing a request for reexamination	
112	920*	112	Requesting publication of SIR prior to Examiner action	
113	1840*	113	Requesting publication of SIR after to Examiner action	
115	110	215	Extension for reply within first month	
116	390	216	Extension for reply within second month	
117	890	217	Extension for reply within third month	
118	1,390	218	Extension for reply within fourth month	
128	1,890	228	Extension for reply within fifth month	
119	310	219	Notice of Appeal	
120	310	220	Filing a brief in support of an appeal	
121	270	221	Request for oral hearing	
138	1,510	138	Petition to institute a public use proceeding	
140	110	240	Petition to revive - unavoidable	
141	1,240	241	Petition to revive - unintentional	
142	1,240	242	Utility issue fee (or reissue)	
143	440	243	Design issue fee	
144	600	244	Plant issue fee	
122	130	122	Petitions to the Commissioner	
123	130	123	Petitions related to provisional applications	
126	180	126	Submission of Information Disclosure Statement	
581	40	581	Recording each patent assignment per property (times number of properties)	
146	710	246	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	For each additional invention to be examined (37 CFR 1.129 (b))	
179	710	279	Request for Continued Examination (RCE)	
169	900	169	Request for expedited examination of a design application	
Other fee (specify)				
Other fee (specify)				
Reduced by Basic Filing Fee Paid				SUBTOTAL (3) \$0

\* Reduced by Basic Filing Fee Paid

## CORRESPONDENCE ADDRESS

Name	Christopher M. Tobin Sony Electronics Inc. - Intellectual Property Department				
Address	123 Tice Blvd., MD T1-1				
City	Woodcliff Lake				
Country	U.S.A.	State	New Jersey	Zip Code	07677
		Telephone	201-930-7420	Fax	201-930-6854

## SUBMITTED BY

Typed or Printed Name	Christopher M. Tobin	Complete (if applicable)
Signature		Registration Number 40,290

Date: July 11, 2001